

## Data Analysis Report: Variant Analysis v2.8

Project / Study: EF-DEMO

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## 1 Analysis workflow

The schematic diagram of the data analysis steps that have been performed is shown in figure 1.

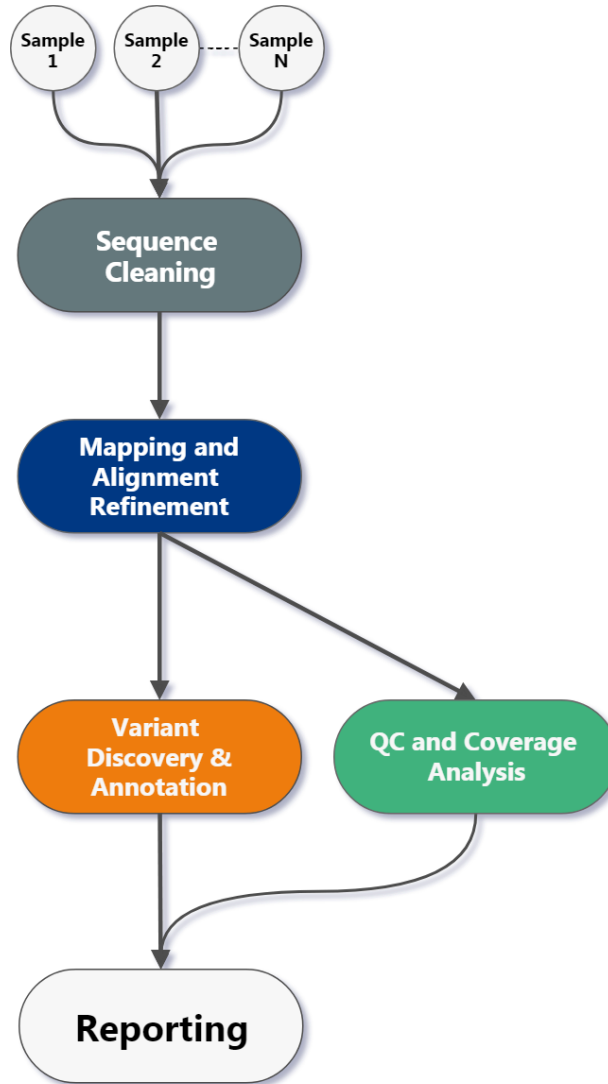


Figure 1: Variant Analysis v2.8 Workflow

## 2 Reference Database

Table 1: Information about the reference database.

Tag	Description
Name	Homo sapiens
Version	hg38.chronly
Source	UCSC
Size (bp)	3.088 GB
Sequences	23

Table 2: Information about additional reference data used.

Type	Version	Source
Annotation	22	GENCODE
dbSNP[1]	151	NCBI

Table 3: Information about the target region used.

Tag	Description
Name	SureSelect All Exon V6
Size (bp)	60,545,910
Source	Agilent

### 3 Results

In total, 3 samples have been analysed. Please see table 12 in the appendix for details.

#### 3.1 Sequence Cleaning

To improve subsequent analyses, a sequence cleaning was performed. First, sequencing adapter sequence that may be contained in reads due to read-through of short fragments is removed. Then, using a sliding window approach, bases with low quality are removed from the 3' and 5' ends. Bases are removed if the average phred quality is below 15. Finally, clipped reads were discarded if they were shorter than 36 bp. Only high quality mate pairs (i. e. both forward and reverse read passed cleaning) were used for the next analysis step.

Detailed cleaning metrics for each sample can be found in file \*.cleaning\_metrics.tsv (see Deliverables, chapter 4)

Table 4: Sequence cleaning metrics.

No.	Sample	Total Reads	LQ Reads	Single Reads	HQ Reads
1	sample1	103,671,578	1,305,631 (1.3%)	1,136,403 (1.1%)	101,229,544 (97.6%)
2	sample2	109,334,926	1,724,860 (1.6%)	1,492,096 (1.4%)	106,117,970 (97.1%)
3	sample3	94,500,388	1,110,505 (1.2%)	956,667 (1.0%)	92,433,216 (97.8%)

Total Reads: Total number of sequence reads analysed for each sample.

LQ Reads: Number (percentage) of discarded low quality reads.

Single Reads: Number (percentage) of high quality reads that lost their (low quality) mate during cleaning.

HQ Reads: Number (percentage) of high quality reads used for further analysis (always mate pairs).

#### 3.2 Mapping and Alignment Processing

Mapping to the reference sequence / database is done using BWA[2] with default parameters. Please note that the mapping efficiency depends on the accuracy of the reference and the quality of sequence reads. Reads are then classified according to the following categories:

- Mapped: Reads mapped to reference.
- Unique: Reads mapped to exactly one site on the reference.
- Non-unique: Reads mapped to more than one site on the reference.
- Singletons: Mapped reads with unmapped mates.
- Cross-Contig: Mapped reads with mates mapped to a different contig / chromosome.
- On-target: Uniquely mapped reads that mapped to a target region with +/- 100 bp tolerance.

For targeted sequencing (e. g. exome sequencing, amplicon panels), the targeted regions are subregions of the reference sequence. For whole genome sequencing, the target region is the full reference sequence. Unmapped reads, non-unique reads, singletons, cross-contig reads, and off-target reads are discarded. Only uniquely mapped on-target reads are processed further.

Remaining reads are deduplicated using sambamba[3] in order to remove the artificial coverage caused by the PCR amplification step during the library preparation and / or sequencing. If a read maps to the same genomic location and has the same orientation as another already mapped read, the reads are considered as duplicates. For paired-end data, all mates of compared pairs have to fulfill the criteria in order to be designated as PCR duplicates. One copy of the duplicated reads is kept for further analyses, the others are discarded.

As a next step, a base quality recalibration is performed to improve the base quality scores of reads. A base quality score represents the probability of a particular base mismatching the reference genome. After recalibration, quality scores are more accurate in that they are closer to the true probability of a mismatch. This process is achieved by analysing the covariation among several different features of a base. The reported quality score, sequencing cycle, and sequencing context are considered for this step. Base quality recalibration is done using GATK[4, 5] modules.

Detailed alignment metrics for each sample can be found in file \*.alignment\_metrics.tsv. (see Deliverables, chapter 4).

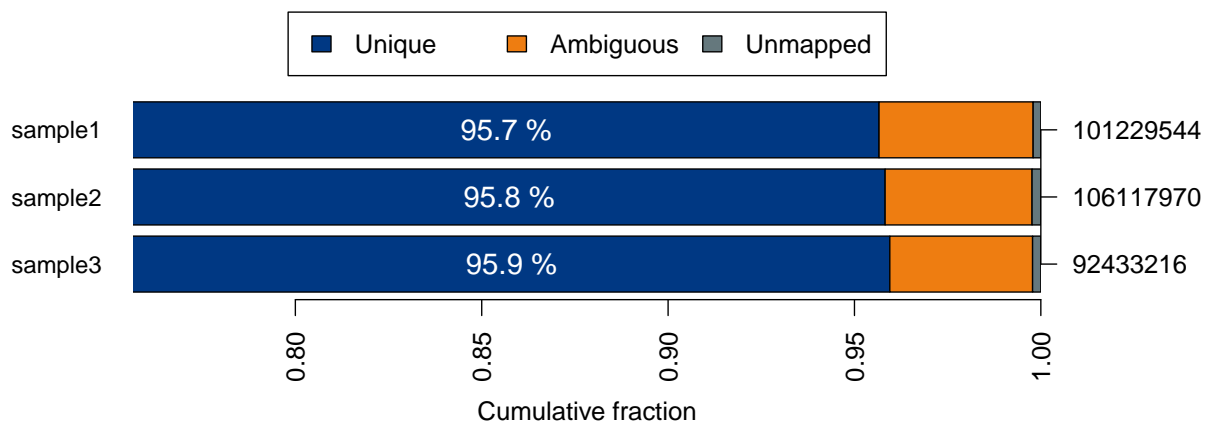


Figure 2: Summary of alignment results. For each sample, the fraction of uniquely mapped, non-uniquely mapped (ambiguous) and unmapped reads relative to the total number of reads per sample (right y-axis) is shown.

Table 5: Mapped read metrics observed per sample. Percentage of reads in category **Unique** is calculated based on the number of reads mapping to entire reference. Percentage of reads in category **On-target** is calculated based on the number of reads mapped uniquely. Percentage of reads in category **Deduplicated** (reads without duplicates) is calculated based on the number of on-target reads.

No.	Sample	Mapped HQ Reads	Unique	On-Target	Deduplicated
1	sample1	101,020,914 (99.79%)	96,835,233 (95.86%)	75,799,434 (78.28%)	57,833,812 (76.30%)
2	sample2	105,868,153 (99.76%)	101,684,687 (96.05%)	78,742,456 (77.44%)	58,183,204 (73.89%)
3	sample3	92,228,661 (99.78%)	88,688,520 (96.16%)	70,577,083 (79.58%)	53,650,001 (76.02%)

### 3.3 Coverage Report

The coverage plot shows the base coverage distribution of the aligned data. Depth of coverage is plotted on X-axis and the percentage of the respective reference covered is plotted on Y-axis. The shape of the curve indicates the uniformity of the reference coverage in the samples analysed. Only the coverage of the target regions is considered.

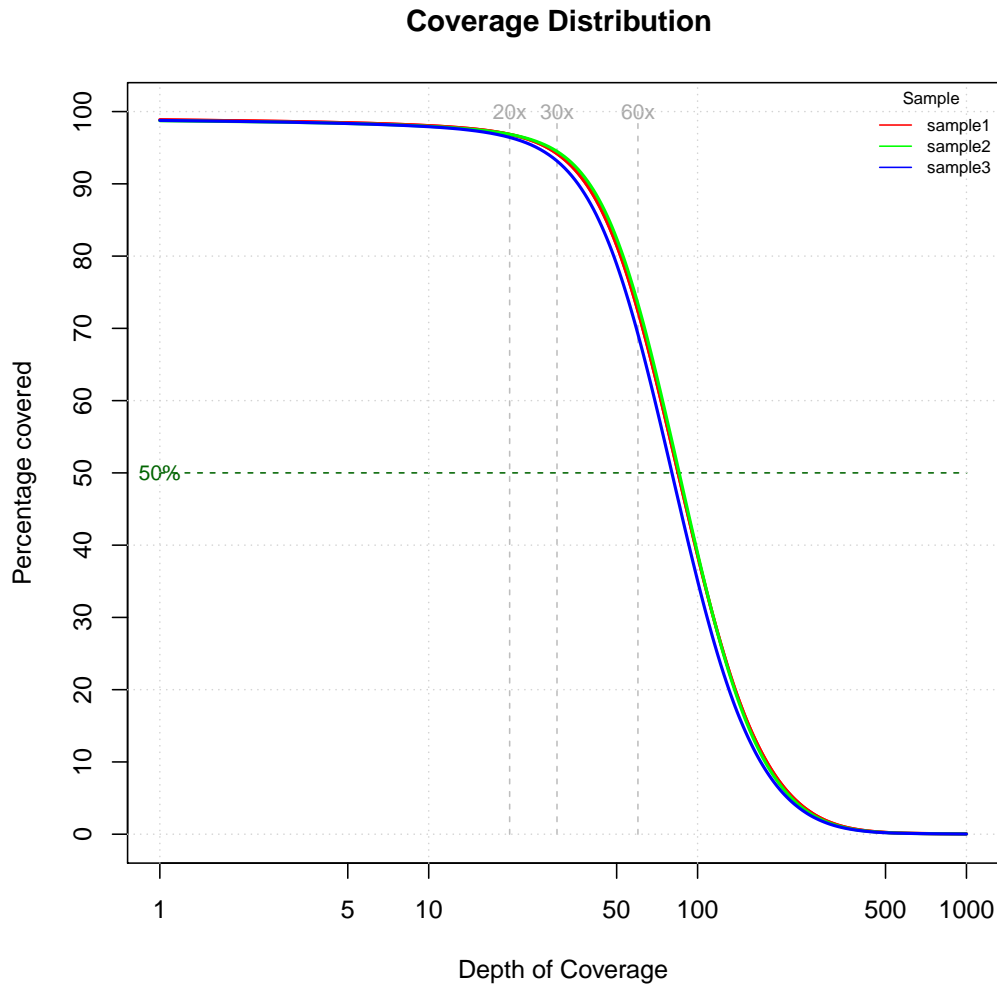


Figure 3: Coverage plot (excluding duplicated fragments).

Table 6: Depth of coverage summary (excluding duplicated fragments).

sample	target coverage		% of target covered with at least							
	total bases	average (x)	2x	5x	10x	20x	30x	60x	90x	120x
sample1	6.08 GB	100.62	98.7	98.5	98.1	96.9	94.2	72.4	45.7	27.3
sample2	6.07 GB	100.40	98.6	98.4	98.0	96.9	94.5	73.4	46.3	27.2
sample3	5.76 GB	95.26	98.6	98.3	97.9	96.4	93.2	69.2	42.1	24.3

### 3.4 Variant Analysis

The SNP and InDel calling is done using GATK's Haplotype Caller[4, 5]. Variants detected are annotated based on their gene context using snpEff[6]. The available annotations and their description are detailed in tables 17 and 18. More information about annotations produced by snpEff can be found [here](#). Several metrics that are used to evaluate the quality of a variant, are annotated using GATK's Variant Annotator module.

Customised filters are applied to the variants to filter false positive variants using GATK's Variant Filtration module. Filters used are described in tables 19 and 20. In this step, variants are classified as PASSED or FILTER\_NAME (failed).

**Please note that the variants are NOT VALIDATED and are provided as they are reported from the programs mentioned above. Therefore it is highly recommended to inspect the variants thoroughly and validate using alternative methods.**

The complete list of variants is contained in the delivery package (see Deliverables, chapter 4) in the corresponding VCF and CSV files. The file formats are described in tables 15 and 16. The detected variants (SNP and InDels) are summarised in the following table(s).

Table 7: Variant metrics for all samples.

No.	Sample	Total	Passed	SNP	InDel	Known	Unknown
1	sample1	173,377	121,979	97,556	24,423	121,000	979
2	sample2	176,553	125,372	100,738	24,634	124,426	946
3	sample3	169,041	115,023	91,654	23,369	114,035	988

Table 8: Variant annotations for all samples.

No.	Sample	Passed	Missense	Nonsense	Silent
1	sample1	121,979	29,604	276	34,815
2	sample2	125,372	29,957	296	35,946
3	sample3	115,023	29,906	278	34,963



## 4 Deliverables

Table 9: List of delivered files, format and recommended programs to access the data.

File	Format	Program To Open File
PROJECT.Variant_Analysis_Report.pdf	PDF	PDF reader
PROJECT.alignment_metrics.tsv	TSV	Spreadsheet Editor
PROJECT.cleaning_metrics.tsv	TSV	Spreadsheet Editor
SAMPLE.alignment.bam	BAM	IGV, Tablet
SAMPLE.alignment.bam.bai	BAI	None
SAMPLE.snpEff_genes.txt	TXT	Text Editor
SAMPLE.snpEff_summary.csv	CSV	Spreadsheet Editor
SAMPLE.snpEff_summary.html	HTML	Web Browser
SAMPLE.variants.csv	CSV	Spreadsheet Editor
SAMPLE.variants.vcf	VCF	Text Editor

Table 10: Short descriptions of file contents.

File	Description
PROJECT.Variant_Analysis_Report.pdf	This report.
PROJECT.alignment_metrics.tsv	This file contains various alignment metrics.
PROJECT.cleaning_metrics.tsv	This file contains various sequence cleaning metrics.
SAMPLE.alignment.bam	Contains quality cleaned, mapped, filtered, and deduplicated reads in BAM format. This file is used for variant calling.
SAMPLE.alignment.bam.bai	The index file associated with SAMPLE.alignment.bam.
SAMPLE.snpEff_genes.txt	A per-gene summary of variant types.
SAMPLE.snpEff_summary.csv	A comma separated representation of the SAMPLE.snpEff_summary.html file for further processing.
SAMPLE.snpEff_summary.html	This file contains overall statistics of the snpEff run. These include quality and coverage histograms, distributions of variants across chromosomes, classifications of variants to various types, etc. You can find a detailed description <a href="#">here</a> .
SAMPLE.variants.csv	This file contains all identified variants of an individual sample in CSV format.
SAMPLE.variants.vcf	This file contains all identified variants of an individual sample in VCF format.

## 5 Formats

Table 11: References and descriptions of file format.

Format	Description
BAM[7]	Compressed binary version of the Sequence Alignment / Mapping (SAM) format, a compact and index-able representation of nucleotide sequence alignments.
CSV	Comma separated table style text file. It can be imported into spreadsheet editors like MS OFFICE Excel.
HTML	Standard markup language for creating web pages and web applications
TSV	Tab separated table style text file. This can be imported into spreadsheet processing software like MS OFFICE Excel.
TXT	Text file of arbitrary style. It can be opened by any text editor. We recommend to use <a href="#">Notepad++</a>
VCF[8]	Variant Call Format (VCF) is a format to describe and report the variants.

## 6 FAQ

**Q:** How can I open a CSV, TSV, or VCF file in Excel?

**A:** You can open CSV, TSV, VCF, or any other text file using Excel. Please follow this procedure:

1. Start Excel
2. Click on the "File" menu button in the top left corner
3. Click on the "Open" menu button in the left menu pane
4. Click on the dropdown-menu in the bottom right corner of the small window that opens. Initially, it should show "All Excel files (\*.xls; \*.xlsx)".
5. Select the topmost entry "All files (\*.\*)"
6. Navigate to the directory with the text files. They should be visible now.
7. Open the files and click through the appearing "Text Import Wizard" dialog (Next, Next, Done).

Depending on the content of the text file you want to import, you might want to change some settings in the "Text Import Wizard" dialog. Most often, you want to change the decimal separator. The provided text files use the dot as decimal separator and comma as thousands separator. Make sure that you set both correctly. To do this, click on the "Advanced" button in pane 3 of the "Text Import Wizard" dialog. You can find additional information in [this article](#) at the Microsoft Office support site.

**Q:** How can I view alignments and variants?

**A:** A convenient tool to view alignments and variant data is the *Integrative Genomics Viewer (IGV)* for Unix, MS Windows, and MacOS X. It can be [downloaded](#) and installed locally, or can be run as web-application.

- Before loading alignments or variant data into IGV, the reference genome FASTA file has to be loaded via the *Genomes -> Load Genome from File* menu. Make sure that you load the same reference genome FASTA file that was used during mapping.
- To load alignments into IGV select the BAM files via the *File -> Load from File* menu. Please note that you need to zoom-in to about 30kb to see alignments. You can set this visibility range threshold and other displaying and filtering options via the *View -> Preferences -> Alignments* menu, or the right-click context menu.
- To load variant data into IGV select the VCF files via the *File -> Load from File* menu. IGV can color mismatch bases and InDel positions. Use the right-click context menu to configure this and other displaying and filtering options. Not all mismatch positions in alignments might have been considered significant by the variant analysis tool and therefore might not be contained in the variant tracks.
- Please visit the IGV online manual to get more information about [loading genomes](#), [viewing alignments](#), and [viewing variants](#).

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- [15] Anthony M. Bolger, Marc Lohse, and Bjoern Usadel. Trimmomatic: a flexible trimmer for Illumina sequence data. *Bioinformatics (Oxford, England)*, 30(15):2114–2120, August 2014.

## A Sequence Data Used

Naming convention for FASTQ files:

<project-id>\_<sample-id>\_<lib-id>\_<run-id>\_<lane-no>\_<read-no>.fastq.gz

<project-id> the unique identifier of this project.

<sample-id> the sample name as provided by the customer.

<lib-id> a unique identifier of the sequencing library created in the lab. Multiple sequencing libraries may have been created from the same sample material, depending e.g. on project setup.

<run-id> a unique identifier of the sequencing run that created this file.

<lane-no> a number specifying the lane of the sequencing device used for sequencing.

<read-no> either \_1 or \_2. For paired-end runs, these numbers identify the associated forward and reverse read files (mate pairs).

Table 12: Analysed samples.

No.	Sample	File Name
1	sample1	EF-DEMO_sample1_lib12345_7209_3_1.fastq.gz.gz EF-DEMO_sample1_lib12345_7209_3_2.fastq.gz.gz
2	sample2	EF-DEMO_sample2_lib12345_7209_3_1.fastq.gz.gz EF-DEMO_sample2_lib12345_7209_3_2.fastq.gz.gz
3	sample3	EF-DEMO_sample3_lib12345_7209_3_1.fastq.gz.gz EF-DEMO_sample3_lib12345_7209_3_2.fastq.gz.gz

## B Relevant Programs

Table 13: Name, version and description of relevant programs.

Program	Version	Description
bamtools[9]	2.3.0	BamTools provides a small, but powerful suite of command-line utility programs for manipulating and querying BAM files for data.
BamUtil[10]	1.0.10	BamUtil is a repository that contains several programs that perform operations on SAM/BAM files
bedtools[11]	2.26.0	Bedtools allows one to intersect, merge, count, complement, and shuffle genomic intervals from multiple files in widely-used genomic file formats such as BAM, BED, GFF/GTF, VCF
BWA[2]	0.7.15	BWA is a software package for mapping low-divergent sequences against a large reference genome
GATK[4, 5]	3.7	GATK is a java-based command-line toolkit that process SAM / BAM / VCF files.
Picard[12]	1.131	Picard is a java-based command-line utilities for processing SAM / BAM files.
R[13]	3.2.4	R is a programming language and environment for statistical computing.
sambamba[3]	0.6.6	Sambamba is a high performance modern robust and fast tool (and library), for working with SAM and BAM files.
SAMTools[14]	0.1.18	SAMtools provide various utilities for manipulating alignments in the SAM format.
snpEff[6]	4.3	SnEff is a genetic variant annotation and effect prediction toolbox.
Trimmomatic[15]	0.33	Trimmomatic performs a variety of useful trimming tasks for Illumina paired-end and single-end data.

## C Tables

Table 14: Definition of the VCF INFO and FORMAT fields in *\*.variants.vcf* files.

Field	Description
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Table 15: Examples of fixed fields of the comma separated variant report table in *\*.variants.csv* files.

CHROMOSOME	POSITION	DBSNP ID	REFERENCE BASE	OBSERVED BASE	FILTER	MUTATION FREQUENCY	COVERAGE
chr1	8064578	rs72634228	A	G	LowCovFilter	0.50	6
chr1	165376227	rs111545739	C	CCG	PASS	1.00	2
chr1	165376231	rs764778331	CTG	C	PASS	1.00	2
chr2	141571329	rs3749010	T	C	LowCovFilter	1.00	5
chr3	69813146	.	GT	G	PASS	0.50	3
chr4	1739816	rs28557273	C	T	QDFilter	0.50	29

Table 16: Definition of fixed fields of the comma separated variant report table in *\*.variants.csv* files.

Name	Meaning
CHROMOSOME	Name of reference contig or chromosome where the variant occurs.
POSITION	Position of reference contig or chromosome where the variant occurs.
DBSNP ID	The dbSNP rs identifier of the SNP based on the contig or chromosome position of the call. If there is an entry in the dbSNP then the respective rs id will be displayed. Dot ('.') indicates no entry in the dbSNP.

Table 16: Definition of fixed fields of the comma separated variant report table in \*.variants.csv files.

Name	Meaning
REFERENCE BASE	The reference base at the variant site.
OBSERVED BASE	Alternative (observed) base in the samples in general [ VARIANT ].
FILTER	In addition to quality score, several filters can be defined to filter the SNPs by considering factors other than quality score alone. For e.g., SNP with low quality score threshold of < 30 could be tagged as LowQual SNPs and the ones which pass this filter will be tagged as PASS. More than one filter can be defined and applied to the variant calls. Default filters are SnpCluster (more than 2 SNPs found in cluster of size=10), LowQual (SNP with quality score < 30), LowCov (SNP with coverage < 20 ), Mask (SNP is at least 10 base near to indel location) and HardToValidate (Not enough evidence to validate). Variant passing the default filters will be tagged "PASS".
MUTATION FRE- QUENCY	The mutation frequency with which a particular variant occurs in a population.
COVERAGE	Sequencing depth or coverage at the variant position.

Table 17: Example of fixed fields of the comma separated variant report table in \*.variants.csv files.

EFFECT	IMPACT	CODON CHANGE	AMINO ACID CHANGE	GENE NAME	BIOTYPE	TRANSCRIPT ID
synonymous_ variant	LOW	c.4731G>A	p.Ala1577Ala	MTOR	protein_ coding	ENST00000361445.8.2
missense_ variant	MODERATE	c.7078A>G	p.Asn2360Asp	SPEN	protein_ coding	ENST00000375759.7.1
upstream_ gene_variant	MODIFIER	n.-3584T>C	.	PTPRF	processed_ transcript	ENST00000477970.1.1
intron_variant	MODIFIER	c.298+29T>C	.	NOTCH2NL	protein_ coding	ENST00000362074.7.1

Table 18: Definition of fixed fields of the comma separated variant report table in \*.variants.csv files.

Name	Meaning
EFFECT	The predicted effect the change implies.
IMPACT	Effect impact. Can be one of High, Moderate, Low and Modifier.
CODON CHANGE	The exact position and the change of the nucleotide within the context of the codon.
AMINO ACID CHANGE	The exact position and the change of the amino acid.
GENE NAME	The gene entry associated with the location of the variant call. If present, gene name will be displayed. If not, "NA" will be displayed.
BIOTYPE	The bare minimum is at least a description on whether the transcript is Coding or Noncoding.
TRANSCRIPT ID	The transcript Id.



Table 19: Filters applied for single nucleotide variant sites.

Name	Expression	Description
LowCovFilter	$\leq 20$	Depth of Coverage.
QDFilter	$<2.0$	Quality by read depth.
MQFilter	$<40.0$	Root Mean Square of the Mapping quality of the reads across all samples.
FSFilter	$>60.0$	Phred-scaled p-value using Fisher's Exact Test to detect strand bias.
HaplotypeFilter	$>13.0$	Consistency of the site with two (and only two) segregating haplotypes.
ReadPosFilter	$<-8.0$	The phred-scaled p-value (u-based z-approximation) from the Mann-Whitney Rank Sum Test for the distance from the end of the read for reads with the alternate allele.
MQRankSumLow	$<-12.5$	Z-score From Wilcoxon rank sum test of Alt vs. Ref read mapping qualities

Table 20: Filter applied for small Insertion / Deletion variant sites.

Name	Expression	Description
QDFilter	$<2.0$	Quality by read depth.
ReadPosFilter	$<-20.0$	The phred-scaled p-value (u-based z-approximation) from the Mann-Whitney Rank Sum Test for the distance from the end of the read for reads with the alternate allele.
FSFilter	$>200.0$	Phred-scaled p-value using Fisher's Exact Test to detect strand bias.

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|------------------|-------------------------------------------------------------------|------------|----------------------------------------------------------|
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| <b>ISO 13485</b> | Oligonucleotides according to medical devices standard            | <b>GCP</b> | Pharmacogenomic services for clinical studies            |
| <b>cGMP</b>      | Products and testing according to pharma and biotech requirements |            |                                                          |

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